LEGACY PLANNING GROUP

Document Checklist

The following documents can assist us in creating your financial plan. Please provide copies of the following documents:

1. **Bank and Brokerage Statements**
   - ☐ Checking Account Statements
   - ☐ Savings/CDs/Money Market Statements
   - ☐ Brokerage Account Statements
   - ☐ Loan Statements (Personal Residence, Primary Residence, Home Equity/Other Mortgage, Real Estate, Investment/Margin, Credit Card, Automobile)
   - ☐ IRA/Roth IRA Statements

2. **Employment Documents**
   - ☐ Payroll Statements
   - ☐ Employee Benefits Statement
   - ☐ Group Term Life Insurance
   - ☐ Group Disability Coverage
   - ☐ Retirement Plan Statements (401(k), 403(b), 457)
   - ☐ Pension Plan Statements
   - ☐ Section 125 Plan (Cafeteria, MSA)
   - ☐ Stock Option Plan

3. **Insurance Company (most recent statement or declaration page for each)**
   - ☐ Life
   - ☐ Annuity
   - ☐ Health
   - ☐ Disability Income
   - ☐ Long Term Care
   - ☐ Homeowners
   - ☐ Auto
   - ☐ Umbrella/Excess Liability
   - ☐ Professional Liability

4. **Tax and Legal Documents**
   - ☐ Latest Income Tax Returns (Last 2 years)
   - ☐ Loan Documents
   - ☐ Wills
   - ☐ Trust Documents
   - ☐ Settlement Agreements
   - ☐ Pre- and Post-Nuptial Agreements
   - ☐ Divorce Settlements (alimony and child support)
   - ☐ Powers of Attorney
   - ☐ Prepaid Legal Fees
   - ☐ Business Agreements/Loans
   - ☐ Employment Contracts

5. **Business Documents**
   - ☐ Buy-Sell Agreements
   - ☐ Deferred Compensation Plans
   - ☐ Stock Option/Stock Bonus Plan

287 E FOUNTAIN BLVD, SUITE 100
COLORADO SPRINGS CO 80903

WWW.LEGACYPLNGRP.COM

719.444.1007